06-15-04

THE ISTATES PATENT AND TRADEMARK OFFICE

In re Applic	ation of: Kennedy et al.	)	
Serial No.:	10/656,931	) Group Art Unit: Not Yet A	Assigned
Filed:	September 5, 2003	) Examiner: Not Yet Assign	ied
	figuring a Semantic Network rocess Transactions	) Attorney Docket No.: EHI )	P-003.01

### CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as express mail (Express Mail Label No. EV 327707731 US) in an envelope addressed to: Mail Stop: Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 14, 2004.

Steve G. Pereira

Mail Stop Petitions Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

06/16/2004 JADDO1 00000079 061448 10656931

01 FC:1460 130.00 DA

06/16/2004 JADDD1 00000079 061448 10656931

04 FC:2255 1005.00 DA

# PETITION UNDER 37 C.F.R. § 1.47(a)

Dear Sir:

This Petition is submitted under 37 C.F.R. § 1.47(a) in reply to the Notice to File Missing Parts for the subject application, said Notice dated November 26, 2003. The Notice to File Missing Parts indicates that an executed declaration of all inventors is required to complete the filing of the application, further with a \$385.00 filing fee and a surcharge fee of \$65.00. Further, Replacement drawings were requested.

The signatures of inventors James Kennedy and John Trustman have not been obtained after diligent effort. Accordingly, this Petition is provided to complete the filing of the application.

I. Pursuant to 37 C.F.R. § 1.47(a) and § 1.17(h), the Commissioner is hereby authorized to charge deposit account 06-1448, Reference EHP-003.01, the following fees:

\$130.00 fee for consideration of this petition;

\$1005.00 fee for extension of time in responding to the Notice to file Missing Parts; \$385.00 filing fee; and,

\$65.00 declaration surcharge fee.

Also provided herein is a Copy of the Notice to File Missing Parts, and Replacement drawings for Figures 1-7.

The undersigned further respectfully petitions, pursuant to 37 CFR 1.136(a), for an extension of time of five (5) months, with payment of the applicable extension fee authorized by the foregoing statements. The Commissioner is hereby authorized to charge any other fees/deficiencies, and credit any overpayments, to deposit account 06-1448, Reference EHP-003.01.

### II. Pursuant to 37 C.F.R. § 1.47(a) and MPEP § 409.03(a):

Enclosed are declarations executed by inventors Heather Ellen Bergeron, Chris Easton, Kunjan Jhaveri, Eugene Krylov, Simone Lomos Pringle, Benjamin Sprecher, and Andre Yoshida, with the signature blocks of non-signing inventors John Trustman and James Kennedy remaining blank, said executed declarations referencing the subject application.

## III. Pursuant to 37 C.F.R. § 1.47(a) and MPEP § 409.03(a) and (d):

- 1. On or about January 29, 2004, the undersigned provided a package via U.S. mail to inventors John Trustman and James Kennedy with a copy of Declarations for all applications under which Mr. John Trustman and Mr. James Kennedy are listed as inventors, including the subject application (Exhibit A).
- 2. On or about March 10, 2004, the undersigned provided a package via U.S. mail, certified mail, return receipt, to inventors John Trustman and James Kennedy with a copy of Declarations for all applications under which Mr. John Trustman and Mr. James Kennedy are listed as inventors, including the subject application (Exhibit B).
- 3. On or about May 28, 2004, the undersigned provided a package via U.S. mail, certified mail, return receipt, to inventor James Kennedy with a copy of all pending applications and associated Declarations for all applications under which Mr. James Kennedy is listed as an inventor, including the subject application (Exhibit C), and the undersigned provided a package via Federal Express to inventor John Trustman with a copy of all pending applications and associated Declarations for all applications under which Mr. John Trustman is listed as an inventor, including the subject application (Exhibit D).

- 4. Applicants' Attorney has not received any response from Mr. James Kennedy or Mr. John Trustman.
- 5. Pursuant to 37 C.F.R. § 1.47(a) and MPEP § 409.03(a) and (e), the last known address of inventor James Kennedy is:

5302 Cedar Bend Creek

Houston, TX 77041-6606; and,

the last known address for inventor John Trustman is:

11 Salt Island Road

Gloucester, MA 01930-1972

Based on the foregoing statements and the accompanying documents, granting of the . present Petition under 37 C.F.R. § 1.47(a) is requested.

Respectfully submitted, FOLEY HOAG LLP

Kevin A. Oliver Reg. No. 42,049

Attorney for the Applicants

Date: June 14, 2004

Customer No. 25,181
Patent Group
Foley Hoag LLP
155 Seaport Blvd.
Boston, MA 02210

Tel: (617) 832-1241 Fax: (617) 832-7000



January 29, 2004

Kevin A. Oliver Boston Office 617.832.1241 koliver@foleyhoag.com

James Kennedy 5302 Cedar Bend Creek Houston, TX 77041-6606

Re:

U.S. Patent Application No. 10/642,126

Semantic Network by Kennedy, et al.

Our Docket No. EHP-002.03

U.S. Patent Application No. 10/656,931

Configuring a Semantic Network to Process Transactions by Bergeron, et al.

Our Docket No. EHP-003.01

U.S. Patent Application No. 10/656,933

Processing Transactions Using a Semantic Network

Our Docket No. EHP-003.02

U.S. Patent Application No. 10/656,909

Processing Transactions Using a Structured Natural Language

Our Docket No. EHP-003.03

U.S. Patent Application No. 10/680,863

Configuring a Semantic Network to Process Health Care Transactions

Our Docket No. EHP-003.04

U.S. Patent Application No. 10/681,052

Processing Health Care Transactions Using a Semantic Network

Our Docket No. EHP-003.05

#### Dear James:

Enclosed are the following documents with respect to the above referenced applications:

- 1) <u>Declaration for Utility Application Using an Application Data Sheet</u>. Please sign the form where indicated by the "Sign Here" sticker.
- 2) <u>Assignment</u>. Sign and date the form where indicated. Please note, your signature must be witnessed, but the witness need not be a notary public.

After all forms have been completed, please return them to me by either facsimile at 617-832-7000 or regular mail.

FHBoston/1007598.1

Exhibit A

James Kennedy January 29, 2004 Page 2

Best regards,

Kevin A. Oliyer

KAO:tab Enclosures

Exhabit A



Kevin A. Oliver Boston Office 617.832.1241 koliver@foleyhoag.com

January 29, 2004

John Trustman 11 Salt Island Road Gloucester, MA 01930-1972

Re: U.S. Patent Application No. 10/642,126

Semantic Network by Kennedy, et al.

Our Docket No. EHP-002.03

U.S. Patent Application No. 10/656,931

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Our Docket No. EHP-003.01

U.S. Patent Application No. 10/656,933

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Our Docket No. EHP-003.02

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FHBoston/1007598.1

Exhibit A
3/4

John Trustman January 29, 2004 Page 2

Best regards,

Kevin A. Oliver

KAO:tab Enclosures

> Exhibit A 4/4



March 10, 2004

Kevin A. Oliver **Boston Office** 617.832.1241 koliver@foleyhoag.com

# CERTIFIED MAIL/RETURN RECEIPT REQUESTED

James Kennedy 5302 Cedar Bend Creek Houston, TX 77041-6606

Re:

U.S. Patent Application No. 10/642,126

Semantic Network by Kennedy, et al.

Our Docket No. EHP-002.03

U.S. Patent Application No. 10/656,931

Configuring a Semantic Network to Process Transactions by Bergeron, et al.

Our Docket No. EHP-003.01

U.S. Patent Application No. 10/656,933

Processing Transactions Using a Semantic Network

Our Docket No. EHP-003.02

U.S. Patent Application No. 10/656,909

Processing Transactions Using a Structured Natural Language

Our Docket No. EHP-003.03

U.S. Patent Application No. 10/680,863

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Our Docket No. EHP-003.04

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2) Assignment. Sign and date the form where indicated. Please note, your signature must be witnessed, but the witness need not be a notary public. Exhibit B

FHBoston/1007598.2

James Kennedy March 10, 2004 Page 2

After all forms have been completed, please return them to me by either facsimile at 617-832-7000 or regular mail.

Best regards,

Kevin A. Oliver

KAO:tab Enclosures

> Exhibit B 2/4



March 10, 2004

Kevin A. Oliver Boston Office 617.832.1241 koliver@foleyhoag.com

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John Trustman 11 Salt Island Road Gloucester, MA 01930-1972

Re:

U.S. Patent Application No. 10/642,126

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Our Docket No. EHP-003.02

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Our Docket No. EHP-003.04

U.S. Patent Application No. 10/681,052

Processing Health Care Transactions Using a Semantic Network

Our Docket No. EHP-003.05

#### Dear John:

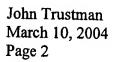
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FHBoston/1007598.2

Exhibit B 3/4



After all forms have been completed, please return them to me by either facsimile at 617-832-7000 or regular mail.

Best regards,

Kevin A. Oliver

KAO:tab Enclosures

> Exhibit B 4/4

ELOPE RESS.	7 447 7 447	U.S. Postal Service CERTIFIED MAIL RECEIPT (Domestic Mail Only; No Insurance Coverage Provided)			
CE STICKER AT TOP OF ENVI THE RIGHT OF RETURN ADD FOLD AT DOTTED LINE SERTIFIED IVA	45 0000 07 4745 0000 05	Postage  Certified Fee  Return Receipt Fee (Endorsement Required)  Restricted Delivery Fee (Endorsement Required)  Total Postage & Fees	\$	Postmark Here	
PLAC TO TI	7000 167	Recipient's Name (Please Print Clearly) (to be completed by mailer)  Street, Apt. No.; or PO Box No.  City, State, ZiP+4  PS Form 3300, February 2000  See Reverse for Instructions			

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY		
Complete Items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.	A. Received by (Please Print Clearly) B. Date of Delivery		
<ul> <li>Print your name and address on the reverse so that we can return the card to you.</li> <li>Attach this card to the back of the mailpiece, or on the front if space permits.</li> </ul>	C. Signature  X   Agent  Addressee		
1. Article Addressed to:	D. Is delivery address different from item 1?		
James Kennedy 5302 Cedar Bend Creak			
5302 Cedar Bend Creak			
Houston, TX 77041-6606	3. Service Type  Certified Mail  Registered Insured Mail  C.O.D.		
	4. Restricted Delivery? (Extra Fee) Yes		
2. Article Number (Copy from service label) 7000	1670 0000 7474 2447,		
PS Form 3811, July 1999 Domestic F	Return Receipt 102595-00-M-0952		

Exhibit C



May 28, 2004

Kevin Oliver Boston Office 617.832.1241 koliver@foleyhoag.com

# Via Certified Mail/Return Receipt Requested

James Kennedy 5302 Cedar Bend Creek Houston, TX 77041-6606

Re

U.S. Patent Application No. 10/642,126

Title: Semantic Network Our Ref. No.: EHP-002.03

U.S. Patent Application No. 10/656,931

Title: Configuring a Semantic Network to Process Transactions

Our Ref. No.: EHP-003.01

U.S. Patent Application No. 10/656,909

Title: Processing Transactions Using a Semantic Network

Our Ref. No.: EHP-003.02

U.S. Patent Application No. 10/656,909

Title: Processing Transactions Using a Structured Natural Language

Our Ref. No.: EHP-003.03

U.S. Patent Application No. 10/680,863

Title: Configuring a Semantic Network to Process Health Care

**Transactions** 

Our Ref. No.: EHP-003.04

U.S. Patent Application No. 10/656,909

Title: Processing Health Care Transactions Using a Semantic Network

Our Ref. No.: EHP-003.05

Dear Mr. Kennedy:

Enclosed are copies of the above-referenced applications. Also enclosed are the following documents which were previously sent to you that need your signature.

FHBoston/1061407.2

Kahibit C

- 1) <u>Declaration for Utility Application Using an Application Data Sheet</u>. Please sign the form where indicated by the "Sign Here" sticker.
- 2) <u>Assignment</u>. Sign and date the form where indicated. Please note, your signature must be witnessed, but the witness need not be a notary public.

After all forms have been completed, please return them to me by either facsimile at (617) 832-7000 or regular mail.

Should you have questions, please do not hesitate to contact me at (617) 832-1241, or by email at koliver@foleyhoag.com.

Best regards,

Kevin Oliver

KAO/jyc Enclosures

cc: Christopher Umana, Esq. (w/o encls.)

Exhibit C 3/3

# Collymore, Jeannette

From: Sent:

FedEx [donotreply@fedex.com] Tuesday, June 01, 2004 9:42 AM

Collymore, Jeannette

To: Subject:

FedEx shipment 791854324089

21912-9

8HP-301

Our records indicate that the shipment sent from KEVIN A. OLIVER/FOLEY HOAG LLP to John Trustman has been delivered. The package was delivered on 06/01/2004 at 9:37 AM and signed for or released by 11 ONFILE.

The ship date of the shipment was 05/28/2004.

The tracking number of this shipment was 791854324089.

FedEx appreciates your business. For more information about FedEx services, please visit our web site at http://www.fedex.com

To track the status of this shipment online please use the following: http://www.fedex.com/cgi-bin/tracking?tracknumbers=791854324089 &action=track&language=english&cntry\_code=us

Disclaimer

FedEx has not validated the authenticity of any email address.

Exhibit D

From: Origin ID: (617)832-1241 KEVIN A. OLIVER FOLEY HOAG LLP 155 SEAPORT BOULEVARD

BOSTON, MA 02210

**FedEx** 

Ship Date: 28MAY04 Actual Wgt: 3 LB System#: 5060022/INET1800 Account#: S \*\*\*\*\*\*\*\*\*



SHIP TO: (781)372-3800 John Trustman

**BILL SENDER** 

11 Salt Island Road

Gloucester, MA 019301972

PRIORITY OVERNIGHT

7918 5432 4089

-MA-US

BOS

01JUN04 AA

TUE Deliver By:

01930 RES



Shipping Label: Your shipment is complete

- 1. Use the 'Print' feature from your browser to send this page to your laser or inkjet printer.
- 2. Fold the printed page along the horizontal line.
- 3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$500 e.g. investor. authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$500, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current

Exhibit D



Kevin Oliver Boston Office 617.832.1241 koliver@foleyhoag.com

May 28, 2004

# Via Federal Express

John Trustman 11 Salt Island Road Gloucester, MA 01930-1972

Re U.S. Patent Application No. 10/642,126

Title: Semantic Network Our Ref. No.: EHP-002.03

U.S. Patent Application No. 10/656,931

Title: Configuring a Semantic Network to Process Transactions

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FHBoston/1061407.6

FOLEY, HOAG & ELIOT LLP

**BOSTON** 

WASHINGTON

www.foleyhoag.com

John Trustman May 28, 2004 Page 2

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Should you have questions, please do not hesitate to contact me at (617) 832-1241, or by email at koliver@foleyhoag.com.

Best regards,

Kevin Oliver

KAO/jyc Enclosures

cc: Christopher Umana, Esq. (w/o encls.)

Exhibit D 4/4